

# LING5702: Lecture Notes 22

## Neural grammar induction experiments

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### 22.1 Neural inducer (Jin et al., 2021)

We get better results with a neural inducer, which directly optimizes probability of sentences  $\sigma$ :

$$\mathbf{W}^{(t)} = \mathbf{W}^{(t-1)} - \frac{\partial}{\partial \mathbf{W}^{(t-1)}} \sum_{\sigma \in \mathcal{D}} -\ln P(\sigma)$$

Sentence probability comes from rule probabilities, as before:

$$P(\sigma) = \sum_{\tau \text{ for } \sigma} \prod_{\eta \in \tau \text{ s.t. } c_{\eta} \rightarrow c_{\eta 1} c_{\eta 2}} P(c_{\eta} \rightarrow c_{\eta 1} c_{\eta 2} | c_{\eta}) \cdot \prod_{\eta \in \tau \text{ s.t. } c_{\eta} \rightarrow w_{\eta}} P(c_{\eta} \rightarrow w_{\eta} | c_{\eta})$$

Rule probabilities rely on a terminal/nonterminal decision:

$$P(\text{Stop}=s | c_{\eta}) = \text{SoftMax}_{s \in \{0,1\}}(\mathbf{W}_{\text{stop}} \overbrace{\mathbf{E} \delta_{c_{\eta}}}^{\text{category embedding}})$$

The non-terminal and terminal probabilities are also estimated by neural networks:

1. If **non-terminal**, we use a neural decision given the expanded category:

$$P(c_{\eta} \rightarrow c_{\eta 1} c_{\eta 2} | c_{\eta}) = P(\text{Stop}=0 | c_{\eta}) \cdot \text{SoftMax}_{c_{\eta 1}, c_{\eta 2} \in C \times C}(\mathbf{W}_{\text{nont}} \overbrace{\mathbf{E} \delta_{c_{\eta}}}^{\text{category embedding}})$$

2. If **terminal**, we use a different neural decision given the expanded category:

$$P(c_{\eta} \rightarrow w_{\eta} | c_{\eta}) = P(\text{Stop}=1 | c_{\eta}) \cdot \text{SoftMax}_{w_{\eta} \in W}(\mathbf{W}_{\text{term}} \overbrace{\mathbf{E} \delta_{c_{\eta}}}^{\text{category embedding}})$$

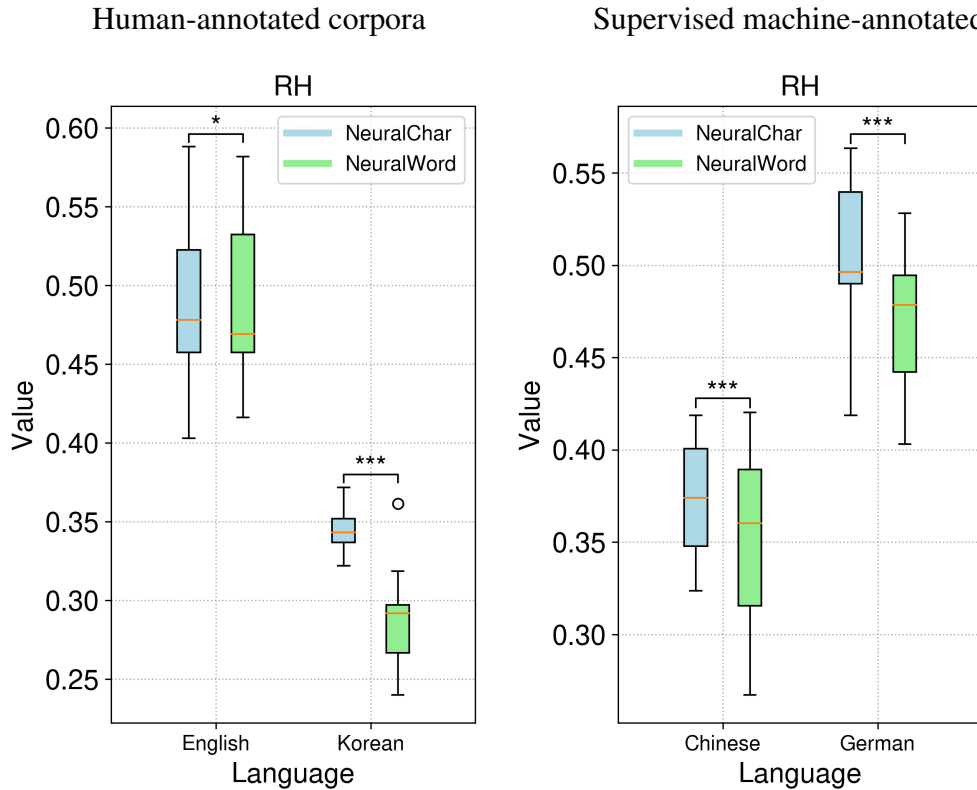
## 22.2 Character model

Alternatively, we try a **recurrent neural character model** (an ‘LSTM’):

$$\begin{aligned}
 P(c_\eta \rightarrow w_\eta | c_\eta) &= P(\text{Stop}=1 | c_\eta) \cdot \overbrace{\prod_{\ell_i \in \{\ell_1, \dots, \ell_n\}} P(\ell_i | c_\eta, \ell_1, \dots, \ell_{i-1})}^{\text{prob. of each letter comes from LSTM}} \\
 P(\ell_i | c_\eta, \ell_1, \dots, \ell_{i-1}) &= \text{SoftMax}(\mathbf{W}_{\text{char}} \mathbf{h}_{i,B,c_\eta})_{\ell_i \in \{a,b,\dots\}} \\
 \mathbf{h}_{i,b,c_\eta}, \mathbf{c}_{i,b,c_\eta} &= \text{LSTM}(\mathbf{h}_{i,b-1,c_\eta}, \mathbf{h}_{i-1,b,c_\eta}, \mathbf{c}_{i-1,b,c_\eta}) \\
 \mathbf{h}_{0,b,c_\eta}, \mathbf{c}_{0,b,c_\eta} &= \text{ReLU}(\mathbf{W}_{b,\text{term}} \underbrace{\mathbf{E} \delta_{c_\eta}}_{\text{category embedding}}), \mathbf{0}
 \end{aligned}$$

LSTMs (Long Short-Term Memories) have hidden units  $\mathbf{h}_{i,b,c_\eta}$  and durable memory cells  $\mathbf{c}_{i,b,c_\eta}$ . This lets the model learn patterns of character sequences for each category (e.g. verbs end in *-ing*).

## 22.3 Results on child-directed speech transcripts: character model is better



Data: MacWhinney (2000).

## 22.4 Results on newswire data: character model is generally better

| Models / RH                   | Individual languages |             |             |             |             |             |             |             |             |             |             | Avg |
|-------------------------------|----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-----|
|                               | Ar                   | Zh          | En          | Fr          | De          | He          | Ja          | Ko          | Pl          | Vi          |             |     |
| DIMI (Jin et al., 2018)       | 16.5                 | 12.4        | 23.4        | 16.8        | 10.3        | 14.9        | 23.5        | 7.1         | 6.3         | 8.1         | 13.9        |     |
| Compound (Kim et al., 2019)   | 21.1                 | 21.2        | <b>36.8</b> | 37.7        | <b>41.4</b> | 23.5        | 15.2        | 5.6         | <b>35.1</b> | 15.8        | 25.3        |     |
| Compound-v (Kim et al., 2019) | 16.9                 | 22.6        | 35.0        | 39.9        | 39.4        | 29.1        | 13.1        | 7.0         | 33.0        | <b>24.0</b> | 26.0        |     |
| L-PCFG (Zhu et al., 2020)     | 24.4                 | 19.4        | 15.0        | 18.2        | 28.3        | 17.0        | 30.1        | 10.2        | 17.4        | 10.2        | 19.0        |     |
| NeurWord (Jin et al., 2021)   | 23.0                 | 20.8        | 29.7        | 29.8        | 33.8        | 21.6        | 29.8        | 11.7        | 22.0        | 15.1        | 23.7        |     |
| Flow (Jin et al., 2019)       | 25.4                 | 18.7        | 21.6        | 25.3        | 29.7        | 25.4        | 24.4        | 15.0        | 31.0        | —           | 24.1        |     |
| NeurChar (Jin et al., 2021)   | <b>29.1</b>          | <b>23.9</b> | 33.4        | <b>40.7</b> | 39.3        | <b>29.5</b> | <b>40.2</b> | <b>16.3</b> | 21.0        | 12.8        | <b>28.5</b> |     |

| Models / F1                   | Individual languages |             |             |             |             |             |             |             |             |             |             | Avg |
|-------------------------------|----------------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-----|
|                               | Ar                   | Zh          | En          | Fr          | De          | He          | Ja          | Ko          | Pl          | Vi          |             |     |
| DIMI (Jin et al., 2018)       | 35.3                 | 36.6        | 50.6        | 39.6        | 36.4        | 45.4        | 36.2        | 26.5        | 43.2        | <b>42.7</b> | 39.3        |     |
| Compound (Kim et al., 2019)   | 32.4                 | 34.2        | <b>51.7</b> | 48.2        | <b>49.7</b> | 40.5        | 22.9        | 19.1        | <b>50.1</b> | 34.3        | 38.3        |     |
| Compound-v (Kim et al., 2019) | 27.6                 | 37.4        | 50.9        | 49.6        | 47.9        | <b>49.2</b> | 21.6        | 20.7        | 47.2        | 38.3        | 39.1        |     |
| L-PCFG (Zhu et al., 2020)     | <b>45.0</b>          | <b>46.2</b> | 36.2        | 34.4        | 46.8        | 38.4        | 45.2        | 30.0        | 32.1        | 27.3        | 38.2        |     |
| NeurWord (Jin et al., 2021)   | 36.9                 | 41.3        | 44.4        | 41.5        | 44.4        | 40.0        | 42.4        | 23.3        | 35.2        | 37.5        | 38.7        |     |
| Flow (Jin et al., 2019)       | 35.3                 | 38.1        | 38.6        | 40.3        | 38.0        | 45.0        | 33.8        | 34.4        | 47.1        | —           | 39.0        |     |
| NeurChar (Jin et al., 2021)   | 42.0                 | 44.9        | 49.9        | <b>51.5</b> | 47.7        | 48.6        | <b>55.9</b> | <b>34.6</b> | 33.1        | 28.7        | <b>43.7</b> |     |

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